Click the Event Info tab for Audio Call-in information.

#### Trauma Informed Care:

Examining the Role of Trauma in the Lives of People Living with HIV/AIDS

Keith Haas, MSW, LCSW
Bluegrass Care Clinic
Lexington, KY

#### Objectives

- Explore the link between trauma in early childhood and the development of risk factors which increase risk of HIV/AIDS
- Develop an understanding of complex/developmental trauma and its neurodevelopmental impacts
- Define what it means to have a Trauma Informed Perspective
- Examine the usefulness of administering the Adverse Childhood Experiences questionnaire in a clinical setting

#### Mental Illness & HIV/AIDS

- There is an increasingly recognized link between mental illness and HIV/AIDS\*
  - Pre-existing mental health/substance abuse problems increase the risk of contracting HIV



Treisman, MD, PH.D, GJ; Angelino MD, AF. The Psychiatry of AIDS, A Guide to Diagnosis and Treatment. The Johns Hopkins University Press. 2004

### Adding Trauma to the Equation

- Researchers are finding that childhood trauma/neglect underlies many mental health/substance abuse problems which occur later in life (J.E.B Myers, et al, 2002)
  - Trauma is a "common path" to the later development of MH/SA problems
- There is an increasing need to "contexualize" mental illness/substance abuse
  - Mental health problems (and by extension physical health problems) do not occur in a vacuum, but are a function of a person's experience and genetic inheritance
  - Traumatic experiences have a very specific and serious negative impact on the neurodevelopment of an individual
    - In turn, these impacts affect physical and behavioral health outcomes

#### Adding Trauma to the Equation, cont.

Developmental — Mental Illness/SA — HIV/AIDS

Trauma

\* These relationships are about increased risk. One factor increases the risk for subsequent factors to develop.

#### ACEs Study

- Started in 1985
- Large scale study conducted by CDC and Kaiser of CA in 1995
- Set out to examine the impacts of Adverse Childhood Experiences (ACEs) on adult health
- $\sim$  N= 17, 337
- ACE score: a measure of the cumulative exposure to abuse, neglect, SA and serious household dysfunction

<sup>\*</sup> Felitti VJ, Anda RF, Nordenberg D, Williamson DF, Spitz AM, Edwards V, Koss MP, Marks JS. The relationship of adult health status to childhood abuse and household dysfunction. *American Journal of Preventive Medicine*, 1998

#### ACE Questionnaire

- Emotional Abuse
- Physical Abuse
- Sexual Abuse
- Neglect (emotional and physical)
- Divorce
- Presence of Intimate Partner Violence
- Substance abuser in the home
- Family member with mental illness
- Incarceration of a family member

#### ACEs Study, cont.

- Key Concepts:
  - Stressful traumatic experiences in childhood negatively impact (neuro)development
  - These negative impacts can lead to social, emotional and cognitive impairments, which lead to:
    - Increased risk of engaging in unhealthy behaviors
    - Risk of violence and re-victimization
    - Disease, disability, premature mortality

#### Other ACEs Study Findings

#### ACEs are common

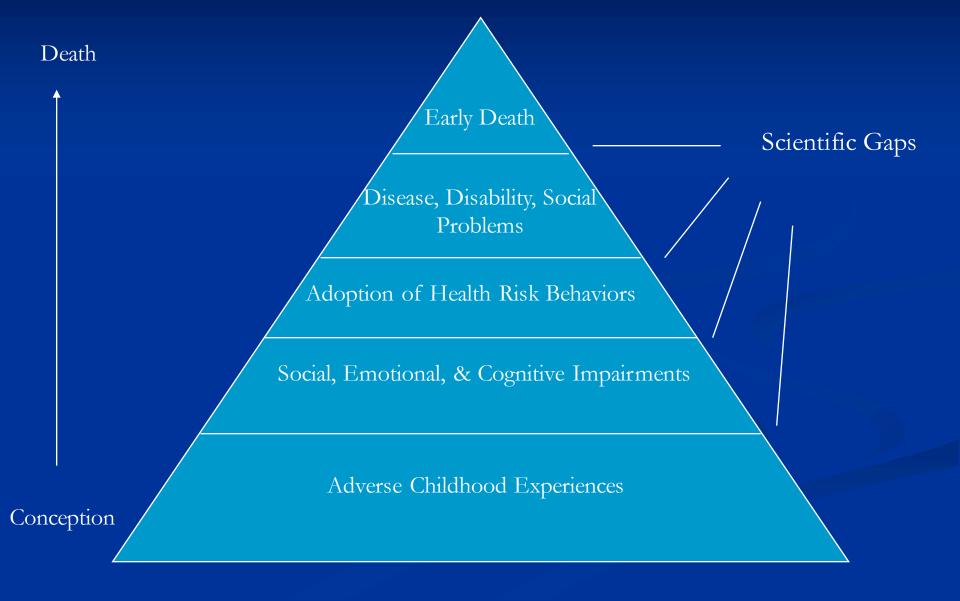
- More than 1 in 4 grew up with SA in the home
- $\blacksquare$  2/3 of the sample pop. had at least 1 ACE
- More than 10% had 5 or more ACEs
- ACEs tend to occur in groups, and are interrelated
  - Tend to be co-occurring
  - If you find one ACE, should look for others
- Strong, graded relationship to numerous health, social and behavioral problems throughout the lifspan

### ACEs Study Findings cont.

Percentage of adverse childhood experiences reported:

ACE Score	Prevalence
0	36.4%
1	26.2%
2	15.8%
3	9.5%
4	6.0%
5	3.5%
6	1.6%
7 or more	0.9%

#### Whole Life Model



#### Neurodevelopmental Impacts

- Development of brain structure/function is impacted by early social experiences with primary caregivers
- The presence of ACEs can result in the following:
  - Problems with emotion/mood regulation
  - Behavioral/Impulse control
  - Problems with cognition (self awareness, problem solving, planning/anticipating)

#### Neurodevelopmental Impacts, cont.

- Brain structures impacted by stressful/traumatic experiences in early childhood
  - Limbic structures (emotional brain)
    - Amygdala, Hippocampus
  - Prefrontal Cortex (thinking brain)
    - Right orbital prefrontal cortex

### Defining Trauma

- Traumatic event vs. trauma response
- Traditional diagnostic criteria vs. broader understanding of trauma
  - i.e. PTSD/ASR vs Complex PTSD
  - DSM-V definition of PTSD improved, yet still limiting
- Type I vs. Type II trauma
  - Type I-Acute Trauma (car accident, witnessing death, assault)
  - Type II-Chronic Trauma (abuse/neglect, DV relationship, captivity)

#### Trauma Defined

- Type I or Acute trauma, most commonly associated with traditional PTSD symptoms
  - Natural disasters
  - Mass Interpersonal Violence
  - MVA
  - Rape/sexual assault
  - Stranger physical assault
  - Emergency worker exposure to trauma
  - Combat exposure

#### Trauma Defined, cont.

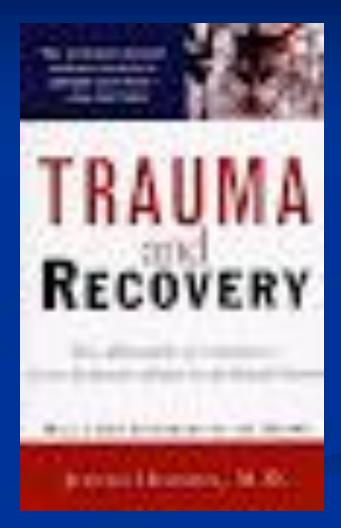
- DSM-V criteria for PTSD
  - Nature of traumatic event
    - Exposure to actual or threat of death, or threat to physical integrity
  - Traumatic even is reexperienced in various ways
  - Persistent avoidance of trauma-related stimuli/numbing
  - Marked alterations in arousal and reactivity
  - Negative alterations in cognitions and mood
- Dissociative symptoms specifier added
  - Depersonalization
  - Derealization

#### A Broader Understanding of Trauma

- Type II-Chronic trauma
  - ACEs capture the nature of chronic stressful/traumatic experiences which increase the risk of long-term mental health/SA issues
  - 10 specific categories of ACEs listed in the study, including:
    - Childhood abuse (emotional, physical, sexual)
    - Neglect (emotional, physical)
    - Growing up in seriously dysfunctional home
      - Witnessing DV
      - Alcohol or SA in the home
      - Mentally ill or suicidal family member in the home
      - Parental marital discord (evidenced by divorce/separation)
      - Crime in the home (evidenced by incarcerated family member)

#### "A New Diagnosis"

- In 1992, Judith Herman, MD introduces "Complex PTSD"
- A diagnosis (and conceptualization of trauma) that addresses the need for a deeper understanding of chronic exposure to overwhelming events
- Provides an expanded definition of trauma; addresses limitations of traditional PTSD diagnosis



### Complex PTSD--Criteria

- Prolonged exposure to stressful experiences
- Alterations in:
  - Affect regulation
  - Consciousness
  - Self-perception
  - Perceptions of the perpetrator
  - Relationships with others
  - Systems of meaning

#### Complex PTSD & HIV

- ACEs study findings: As number of ACEs goes up, so do the risk factors for HIV/AIDS
  - Incidences of injection drug use, promiscuity and hx of STD all rise significantly along with reported ACEs
  - Examples
    - 0 ACEs reported = less than 1% incidence of injection drug use
    - 4 or more ACEs reported = 5% incidence
    - 0 ACEs reported = around 3% > 50 sex partners
    - 4 or more ACEs reported = about 6% reporting >50 sex partners

### Complex PTSD & HIV cont.

- Why are individuals with complex trauma histories engaging in behaviors which put them at increased risk of contracting HIV?
  - Development of mental health problems in adolescence and adulthood
  - Substance use problems
  - Sexual risk behaviors and revictimization
- Neurodevelopmental impacts resulting from chronic exposure to traumatic events can lead to such behaviors

## Substance Use and Other "Tension Reduction" Behaviors

- Without functional affect regulation skills, trauma survivors develop other means of managing trauma-related distress.
  - Substance use (IV drug use a particular risk factor)
  - Indiscriminate sexual behavior
  - Self harm
  - Suicidality
  - Binging and purging

#### The Story of David S.

- Patient referred to individual outpatient therapy
- Presented with severe depression, dissociation, hx of suicide attempt; chronically suicidal; socially isolated
- Long history of binge drinking; drinking to black out; multiple DUIs and jail time
  - Would go for significant periods of time without drinking, then binge and drink and drive, get arrested
- Significant hx of childhood sexual abuse;
- Contracted HIV (sexually transmitted)
- ACE score of 4

# Administering ACE Questionnaire in a Clinical Setting

- A first line intervention for trauma and related medical problems.
- ACE survivors fail to recognize the impacts of ACEs, may even deny unless asked.
- Addressing ACEs in this setting can be validating and open the door to treatment
- Provide rationale for addressing ACEs
  - ACEs: Informing Best Practices (2015) www.avahealth.org/aces\_best\_practices/

# Administering ACE Questionnaire in a Clinical Setting

- Potential Screening Questions:
  - "How would you feel if you learned that a child you care about was growing up exactly as you did?"
  - "Can you tell me how that has affected you later in life and how often you think about those experiences?"
- Supportive statements:
  - "You are experiencing a normal response to abnormal life experiences in childhood. There is help for this."
    - ACEs: Informing Best Practices (2015) www.avahealth.org/aces\_best\_practices/

#### Adopting a Trauma Informed Perspective

- Recognition that majority of individuals with mental health diagnoses are trauma survivors
- Recognizing that PLWHA have a particularly high incidence of ACEs.
- Understanding symptoms as sequelae of trauma; viewing self-defeating behaviors as attempts to cope with or "master" trauma
- Focus on providing client-centered care; validating subjective experience of patients
- Partnering with patients, empowering them to make choices for themselves

# Trauma Informed Care Why is this important?

- Increases compassion, understanding of patients who are in great pain
- Improves relationship between caregiver and patients; depersonalizes patient's behaviors
- Contextualizes MH, SA and HIV problems
- Accurate diagnosis, better intervention
- Provides framework for providers to help them understand complex, often overwhelming patient presentations

# Trauma Informed Care Why is this important?

- If providers are uninformed about trauma, they can unwittingly re-traumatize patients
- As service providers we have the capacity to hinder treatment, harm our patients, or help to facilitate healing
- Relationships are of utmost importance: Trust
- Trauma survivors have experience a lifetime of betrayal by trusted individuals in their life.

#### References/Resources

- ACEs online resource: <u>www.acesconnection.com</u>
- ACEs: Informing Best Practices (2015)
   www.avahealth.org/aces\_best\_practices
- Anda, R. (1995) The health and social impact of growing up with adverse childhood experiences: The human and economic costs of the status quo. The Adverse Childhood Experiences Study
- Herman, J. (1992). Trauma and recovery: The aftermath of violence--from domestic abuse to political terror. New York: Basic Books.

#### References/Resources

- Triesman, G., Angelino, A. (2004). The psychiatry of AIDS: A guide to diagnosis and treatment.
   Baltimore, MD: The Johns Hopkins University Press.
- http://cdc.gov/nccdphp/ace/ The official CDC website for the Adverse Childhood Experiences study

#### Conclusion

Questions/closing comments

Keith Haas, MSW, LCSW
Bluegrass Care Clinic
859-218-3819
Keith.haas@uky.edu