



Immune Responses to Viruses and upcoming HIV (and SARS CoV-2) Vaccine Trials

Spyros Kalams, M.D.
Infectious Diseases Unit
Vanderbilt University Medical Center
Principal Investigator
HIV Vaccine Clinical Research Site

Objectives:

- Overview of the immune response
- Understanding how the immune system fights viral infections
- Differences between SARS CoV-2 and HIV
- Ongoing and Upcoming HIV and CoV-2 vaccine trials

Innate Response

- First line of defense
- Prevents infection? No!
- NK cells activated when cells are infected
- Activation of innate response is required before the adaptive response can happen
- No immunological memory
- We don't think vaccination will help with immunological memory
- NK cells work by causing infected cells to burst, like a dart bursting a water balloon



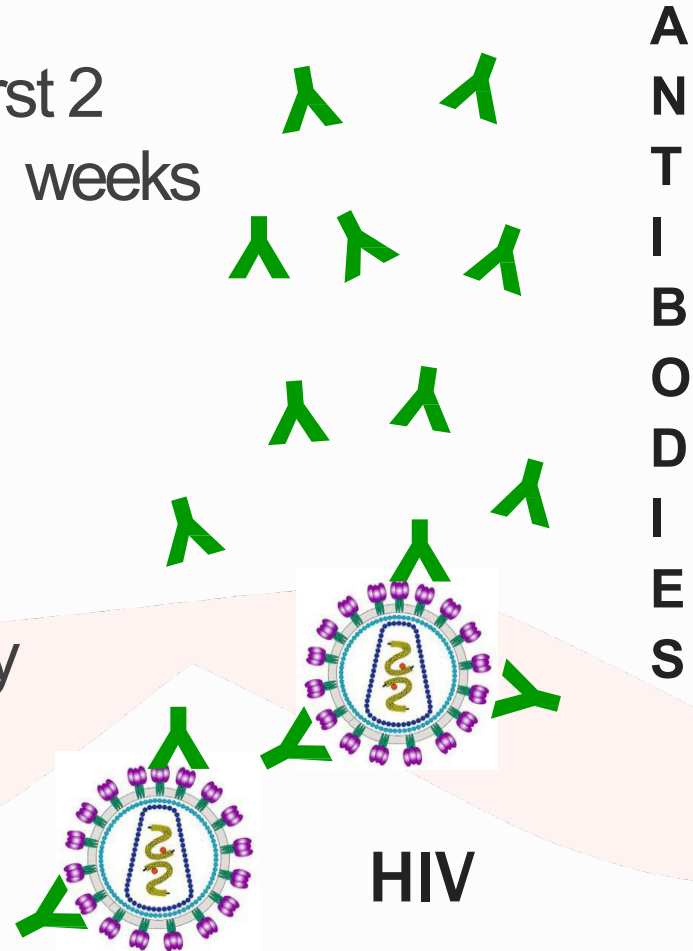
Adaptive = Acquired

- Antigen-specific defense mechanism
- Takes several days to become protective
- Develops throughout life

Adaptive – Part 1

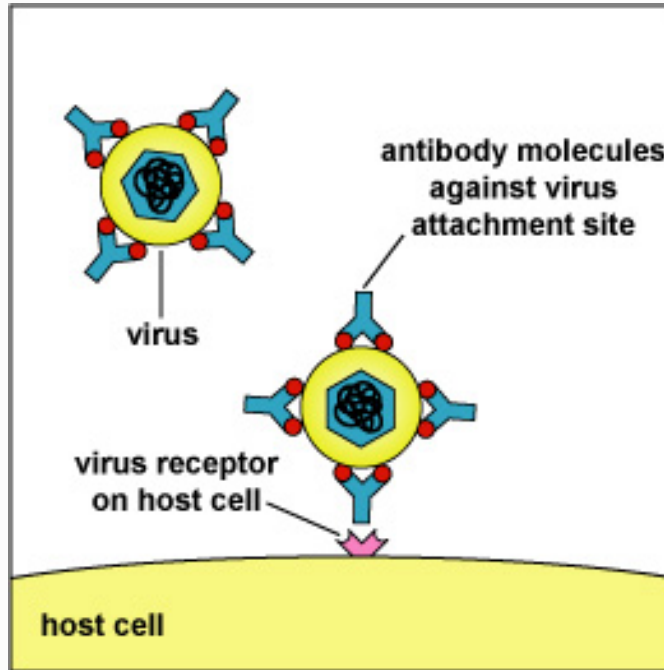
Humoral = Antibodies

- Antibodies are made by B cells in the first 2 days after infection, but usually takes 2 weeks for full effect
- Antibodies neutralize or stop the virus
- Antibodies help eliminate the virus
- Antibodies can prevent infection
- Antibodies have immunological memory



How Do Antibodies Prevent Infection?

1st way: Neutralization



Neutralization:

Antibody prevents the virus from attaching to the host cell

2nd Example: Binding Antibodies

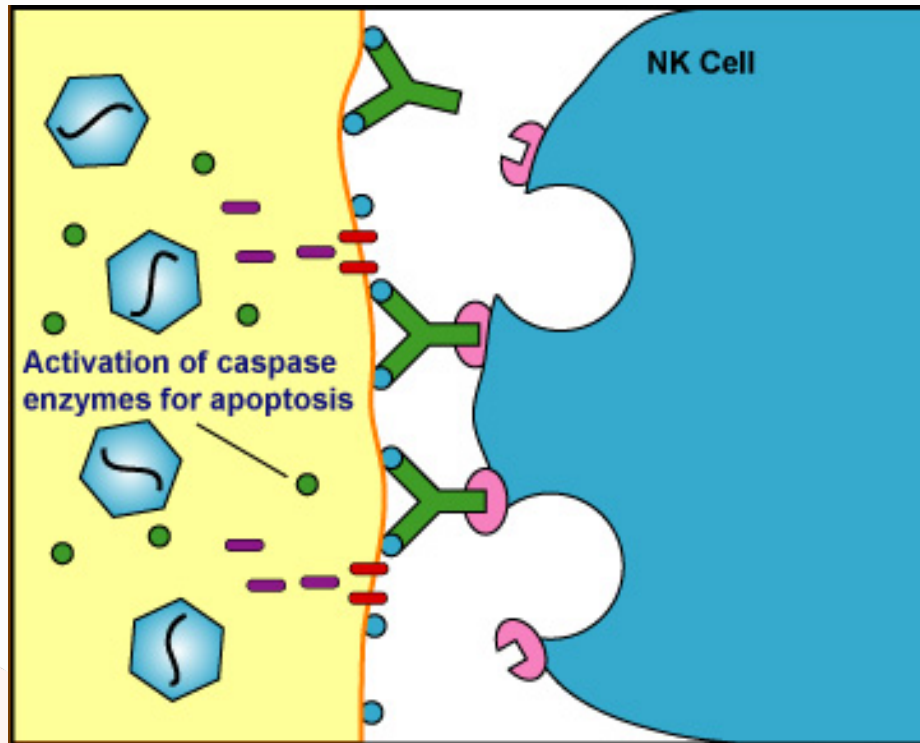
Antibody Dependent Cellular Cytotoxicity (ADCC)

- Natural Killer (NK) cells may also be able to act like a CD8 killer Tcell (“a hitman”)
- They need a binding antibody attached to the virus to act like a “lookout”
- With the lookout in place, the NK cell can identify the virus infected cell and kill it



How Do Antibodies Help Clear Infection?

Antibody Dependent Cellular Cytotoxicity (ADCC)



ADCC:

uses other cells of the immune system to destroy virus infected cells

Humoral Response – Summary

- Antibodies attach to the virus at sites that are used by the virus for entry into cells.
- Neutralizing antibodies can work alone to block a virus from entering cells.
- Vaccines designed to elicit neutralizing antibodies against HIV have not worked very well in trials so far, but work against other viruses such as influenza, and possibly (?) SARS CoV-2.
- Recent discoveries of several broadly neutralizing antibodies are very exciting, and designing a vaccine to produce these antibodies is underway!
- Binding antibodies can attach to HIV and call other parts of the immune system into action to help destroy it.

Adaptive Part Two - Cellular

- Cellular response involves two types of cells:
 - 1) Helper T lymphocytes (CD4⁺)
 - 2) Cytotoxic T lymphocytes (CTL or CD8⁺)
- Have memory!
- Activated once infection occurs

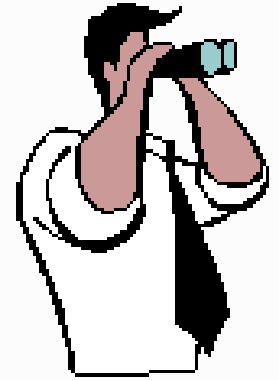
The Two Types of Cells

- **CD4⁺ cells recognize virus and help cells communicate with each other, calling the killers into action**



- **CD8⁺ cells are the killers**

How Does the Adaptive Response Work?



- T-cell function: immunosurveillance
- Checks other cells of the body (are they infected or abnormal?)
- Destroys infected or abnormal cells

Adaptive Response – Summary

Cellular = Cytotoxic T lymphocytes (CTL or CD8+) and helper T lymphocytes (CD4+)

- Cannot prevent infection
- T cells are activated when cells become infected
- T cells can eradicate an established infection
- T cells have immunological memory
- T cells can be primed by vaccination

Immunology Terms Review

	Term	Definition
1	Natural Killers	A. They have no memory, but respond to all infected cells.
2	CD4+ cells	B. They recognize invaders; also called helper cells.
3	CD8+ cells	C. They have memory & kill cells that have been infected.
4	B cells	D. They produce antibodies.
5	Antibodies	E. They coat the invader by attaching to it, helping to block infection.



HIV VACCINE
TRIALS NETWORK

Introduction to Vaccinology

HIV VACCINE

A vaccine discovered through scientific innovation, determination and collaboration has the power to save millions.

Preventive Vaccines

- Used for decades around the world, most commonly in children
- Very safe when manufactured and used properly
- Very cost-effective compared to treatment
- Eliminated smallpox worldwide, soon polio
- 2008: 1st vaccine for girls and young women against a cancer-causing virus, human papilloma virus (HPV), and 2009-10 approval for boys and young men

Vaccine Research in Perspective

VACCINE	DISCOVERY OF VIRUS	VACCINE DEVELOPED FOR HUMAN USE	YEARS TO VACCINE
H. Influenzae-B	1892	1985	93
Herpes (HSV-1)	1919	Not available	>90
Pertussis	1906	1926	20
Polio	1909	1954	47
Yellow Fever	1900	1935	35
Influenza	1933	1945	12
Measles	1911	1957	46
Hepatitis A	1973	1995	22
Hepatitis B	1967	1984	17
HPV	1974	2007	33
HIV	1983	Not available	>30

The Impact of Vaccines in the United States

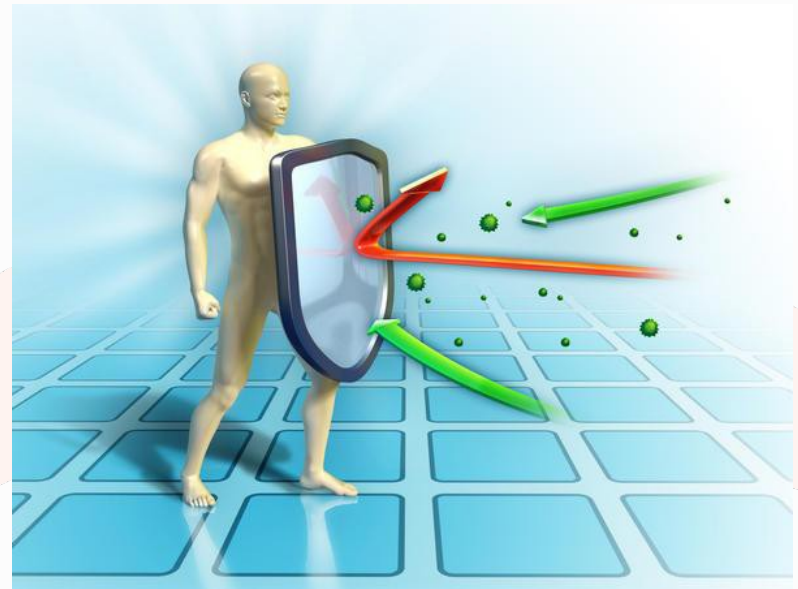
DISEASE	BASELINE 20 TH CENTURY PRE-VACCINE ANNUAL CASES	2008 CASES*	PERCENT DECREA SE
Measles	503,282	140	99.9%
Diphtheria	175,885	0	100.0%
Mumps	152,209	454	99.7%
Pertussis	147,271	10,735	92.7%
Smallpox	48,164	0	100.0%
Rubella	47,745	16	99.9%
<i>Haemophilus influenzae</i> type b, (invasive <5 yrs.)	20,000	30	99.9%
Polio, paralytic	16,316	0	100%
Tetanus	1,314	19	98.6%

*Provisional

Source: *MMWR* 4/2/99, 12/25/09, 3/12/2010

An HIV Vaccine is More Challenging

- The only people who have a natural protective immunity to HIV are those with a genetic mutation to their CCR5 receptor (mostly of Western European ancestry).
- We have to do better than Mother Nature – need to induce “unnatural” protective immunity.
- This immunity needs to be a rapid response, and in all the right locations.

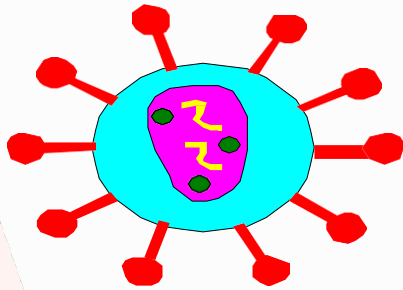


Vaccines Explained

- A vaccine can be **preventive, therapeutic**, or both
- **Preventive** HIV vaccines for HIV-negative populations are being developed to control the spread of HIV and are not a cure for AIDS
- Researchers are also evaluating **therapeutic** vaccines to treat people who are already HIV+ or living with AIDS

How Does a Vaccine Work?

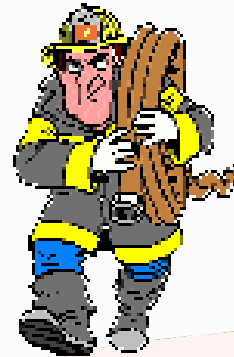
By teaching the body to recognize and fight invaders.



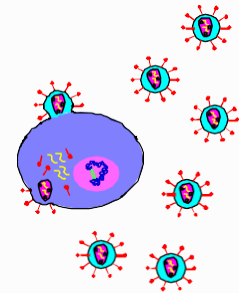
Body Recognizes HIV
Virus



Body – Sounds
Alarm



Fighter Cells
Go Into
Action



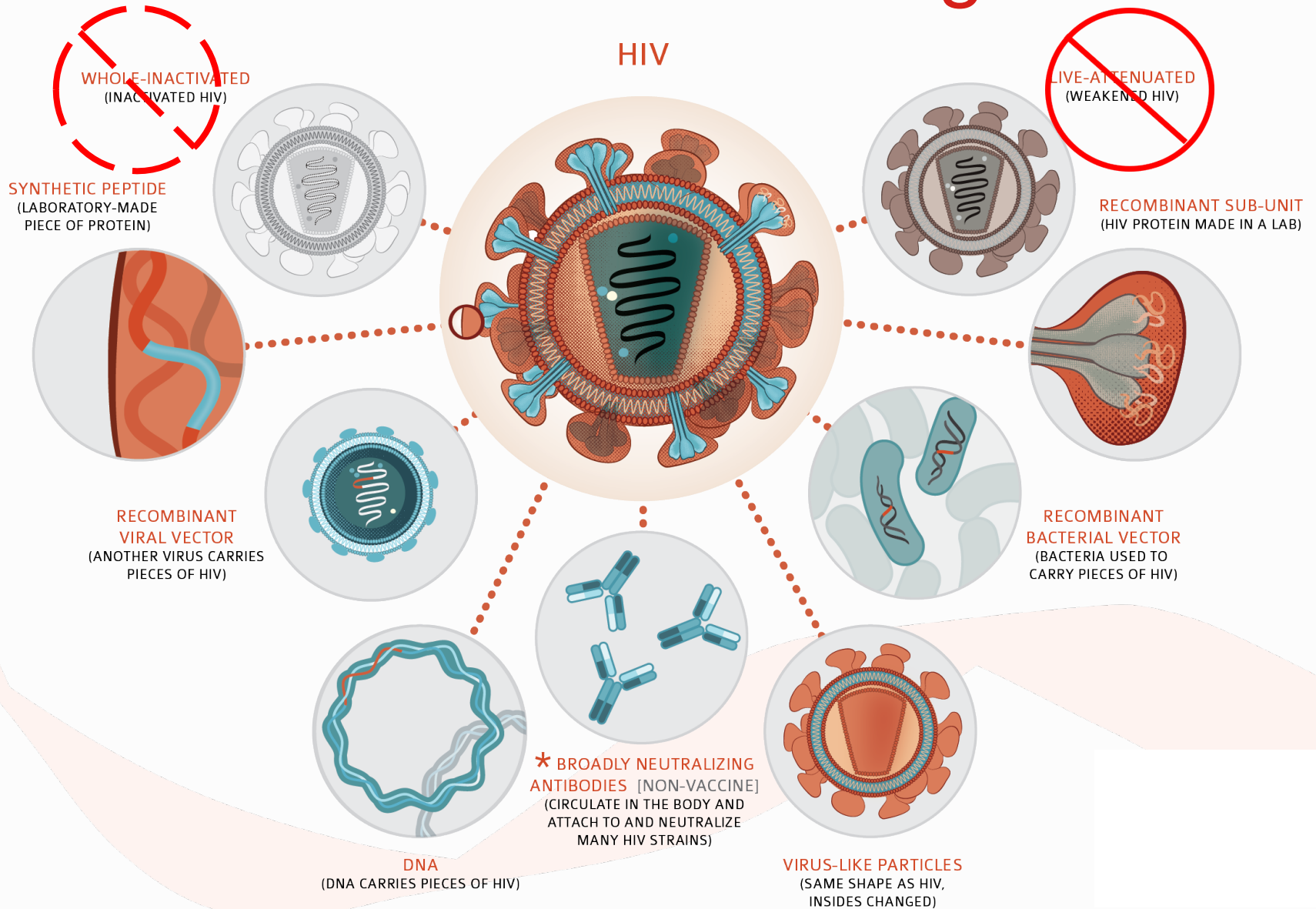
GOAL - HIV is
controlled or
killed

Traditional Approaches for Developing a Vaccine



- Live attenuated vaccines
- Whole virus inactivated vaccines
- Challenging for HIV - hard to manufacture, and have caused disease in animals

Vaccine and Related* Designs



Vaccine Strategies

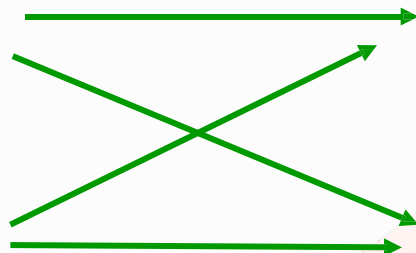
Prime-Boost vaccine strategy



Prime

Boost

Viral vector
or
DNA



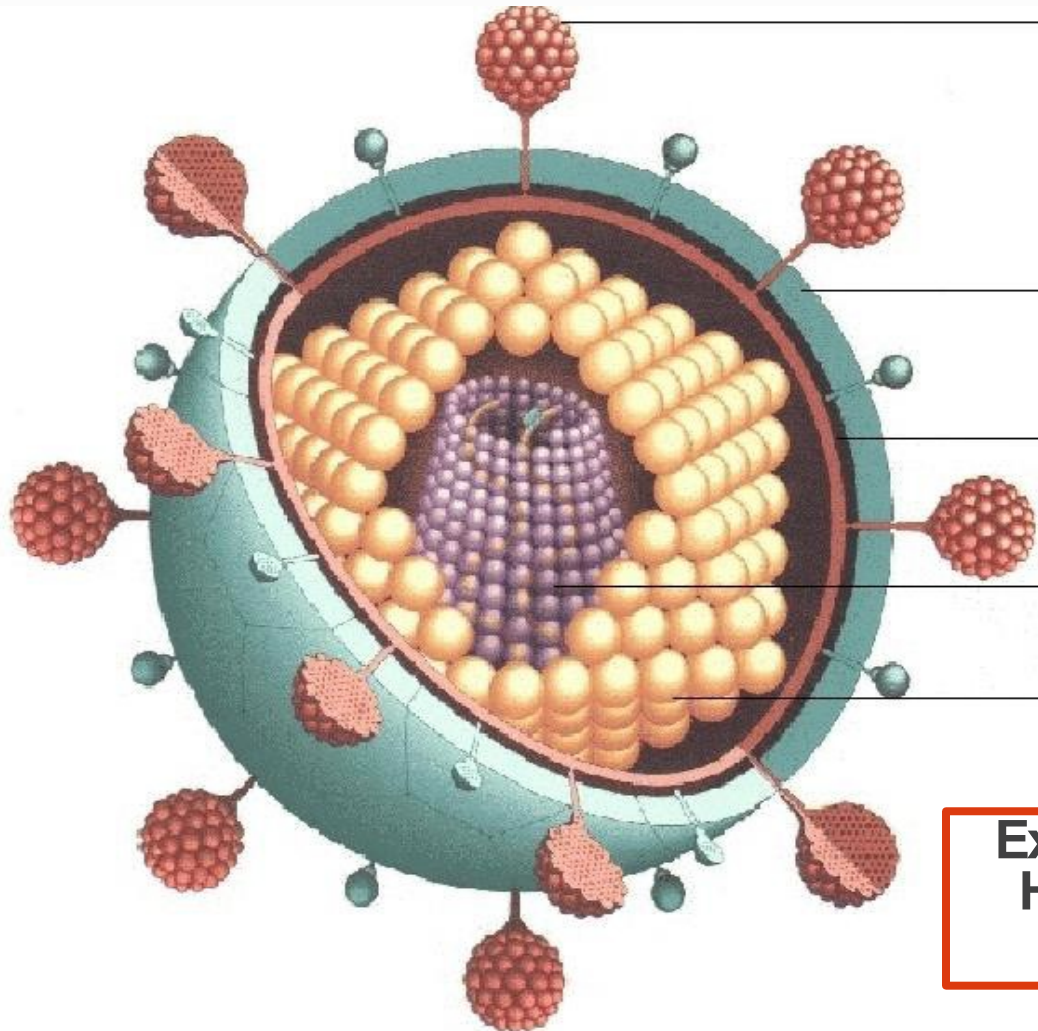
Subunit envelope protein
or
Viral vector

DESIGNING HIV VACCINES

Variables in HIV Vaccine Development

- **Vaccine modality:** whole killed, attenuated, DNA, peptide, recombinant proteins, VLPs, viral vectors (vaccinia, MVA, VSV, Ad, HSV, canarypox, etc.), chimeras
- **Gene(s):** *env, gag, tat, nef, rev, pol, vif, vpu, vpr*, mosaics
- **Adjuvant:** alum, cytokines, MF-59, GM-CSF, etc.
- **Dose**
- **Route:** intradermal, intramuscular, etc.
- **Timing:** how many injections, how far apart
- **Methods of administration:** needle and syringe, Biojector, using electroporation, etc.

HIV Viral Structure



Proteins on the viral envelope
(e.g. gp120)

Membrane or envelope (env)

Matrix proteins

Nucleus (genes)
(e.g., Gag, Pol, Nef, Tat)

Core proteins (e.g. p24, p17)

**Experimental vaccines tested in the
HVTN today do not include whole,
killed or weakened HIV**

HOW AN HIV VACCINE MIGHT WORK

What Might a Preventive HIV Vaccine Do?

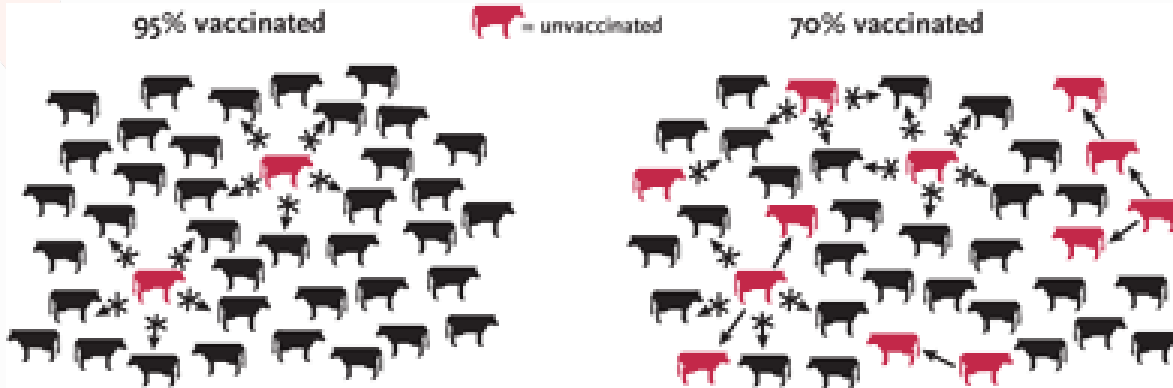


Benefits for the person who gets the vaccine:

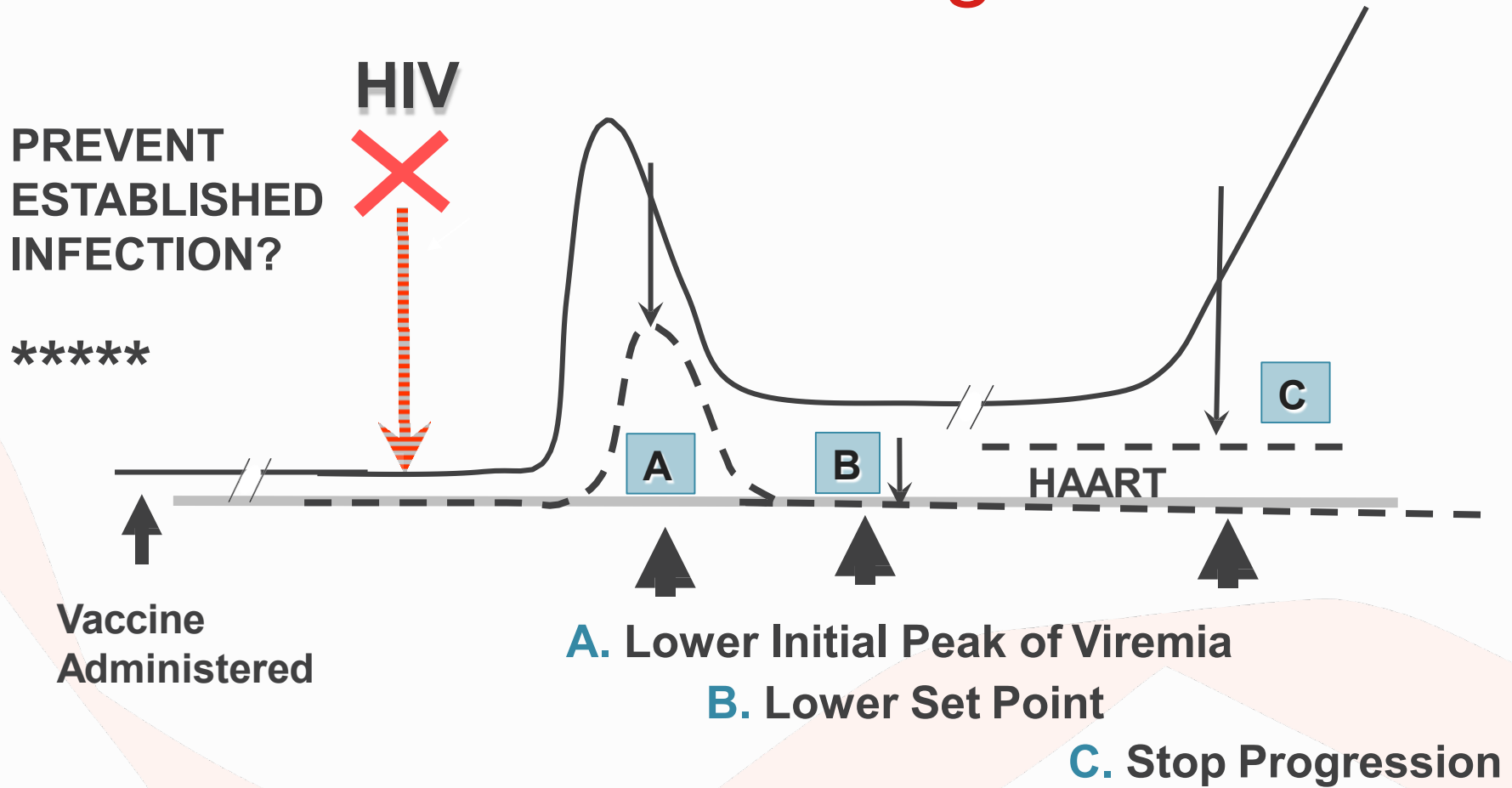
- Prevent infection
- Prevent disease
- Delay disease progression

Benefits for the entire community:

- Prevent further transmission
- Create “herd” immunity

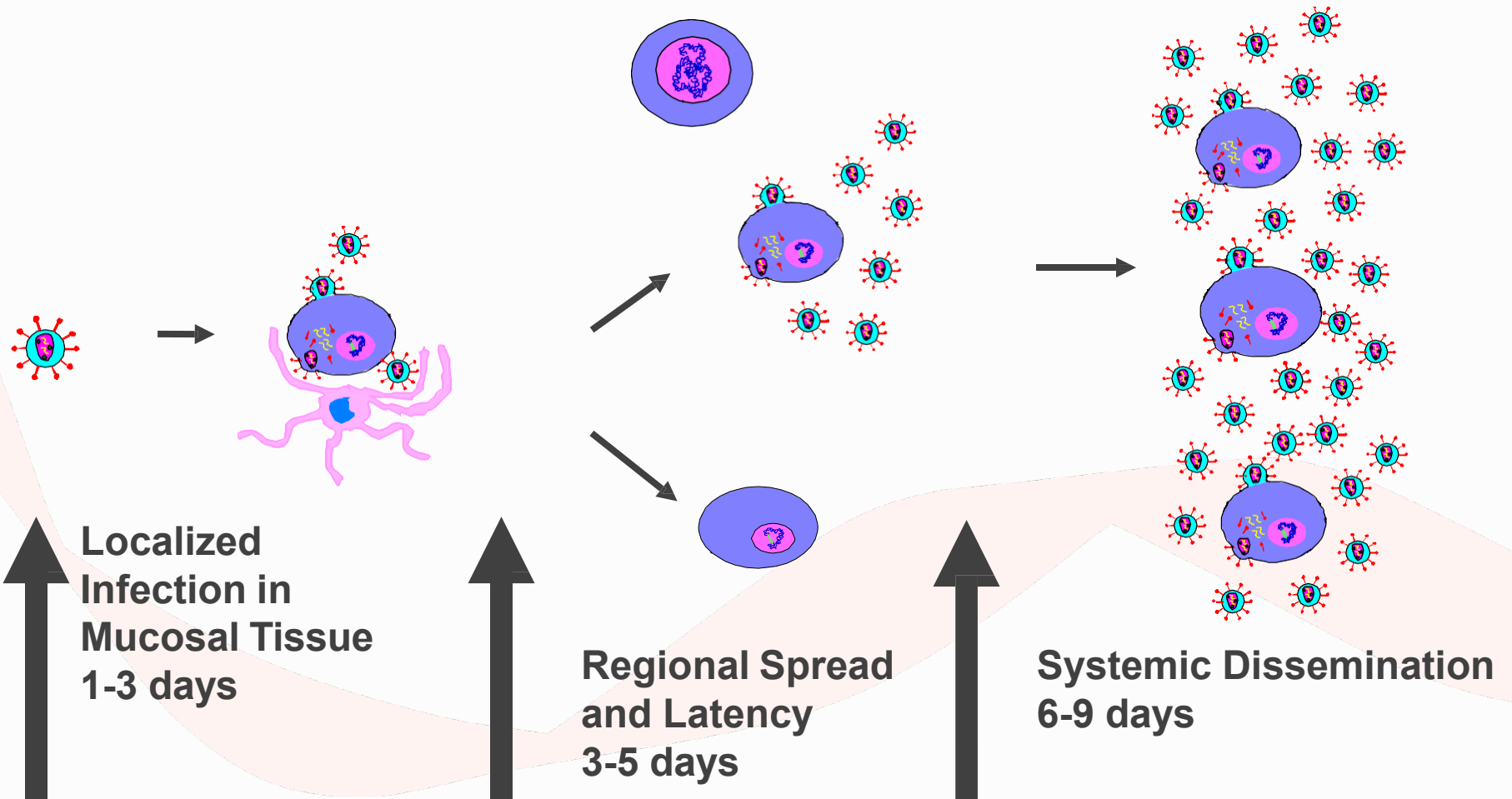


How an HIV Vaccine Might Work



- **Effective in most people?**
- **Effective in some people?**

What is the Time Frame for these Immune Responses?



TRYING NEW IDEAS

One New Idea

- Mosaic - a way of teaching your body to recognize common HIV proteins, used as an HIV insert



Mosaics Are Chains of Proteins

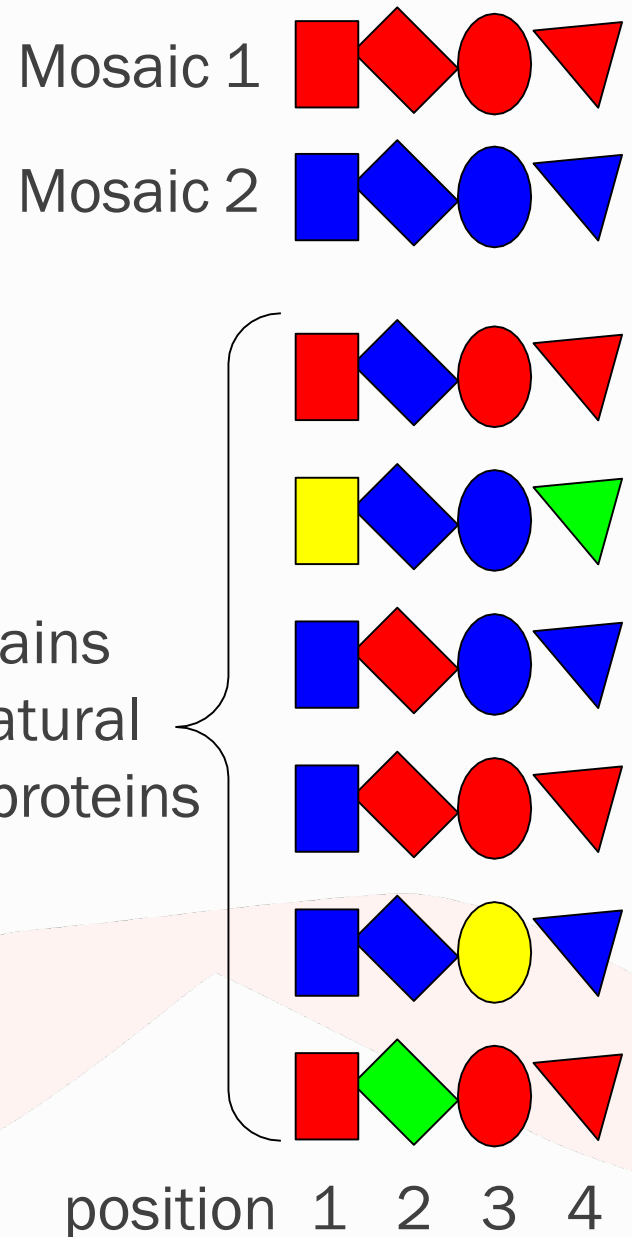
- A protein is a chain of amino acids, each one like a bead in a necklace. The mosaic sequence tells your cells which amino acid to include and where it goes in the chain.



- This mosaic chain is designed to look like the HIV proteins that are most likely to be seen if the body is exposed to HIV.

An Example

- Position 1 & 2: blue is most common, red is 2nd most common
- Position 3 & 4 are opposite
- The mosaics use the most common proteins and the 2nd most common
- The final 2 mosaics may not look anything like the natural chains
- Using several mosaics together in a vaccine gives you the broadest coverage of what might occur naturally



HVTN 706 “Mosaico”

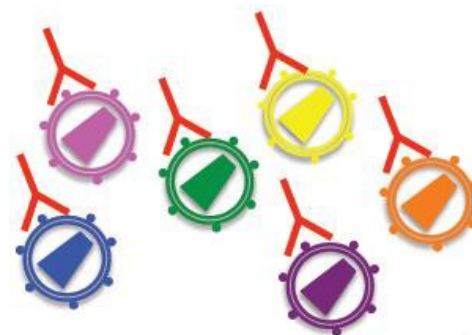
- “Heterologous” prime boost
- AD26.Mos5.HIV (GagPol and ENV DNA insert)
 - Low incidence of pre-exposure to Adenovirus 26
- Gp140 protein boost (clade C and Mosaic)
- Efficacy trial: 1900 participants in each arm (vaccine vs placebo)
- M0 M3 (Ad26.Mos4.HIV)
- M6 M12 (Ad26.Mos4.HIV+gp140)

Another New Idea

All infected people make neutralizing antibodies,
but not all antibodies are created equal....

Strain-specific antibodies

Broadly Neutralizing antibodies

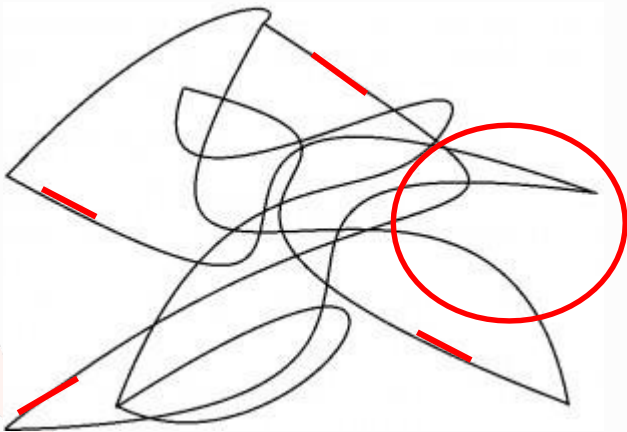


With thanks to Prof. Penny Moore

What do these antibodies do?

Example: VRC01 attaches to the CD4 binding site on gp120

The GP120 Protein



Red lines = linear epitopes
Red circle = the CD4 binding site

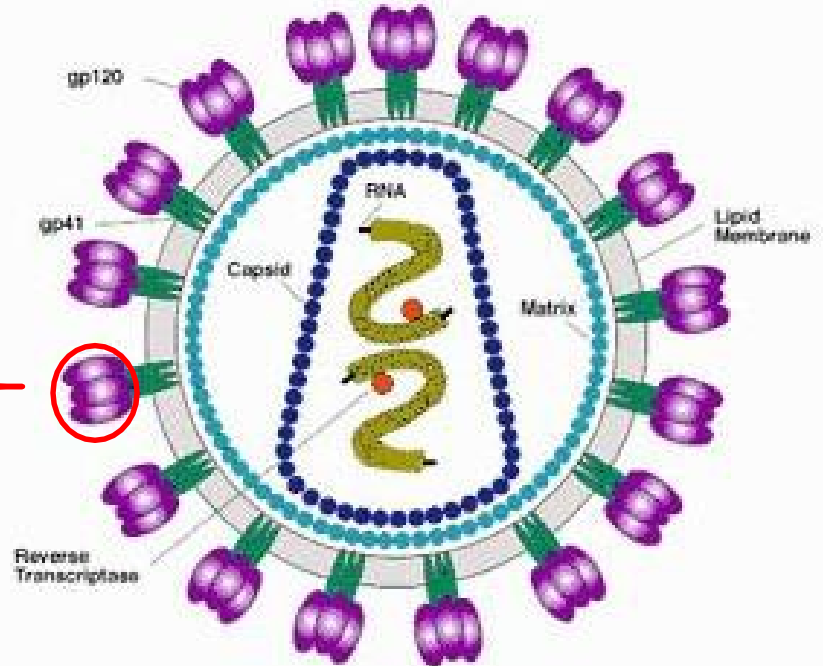
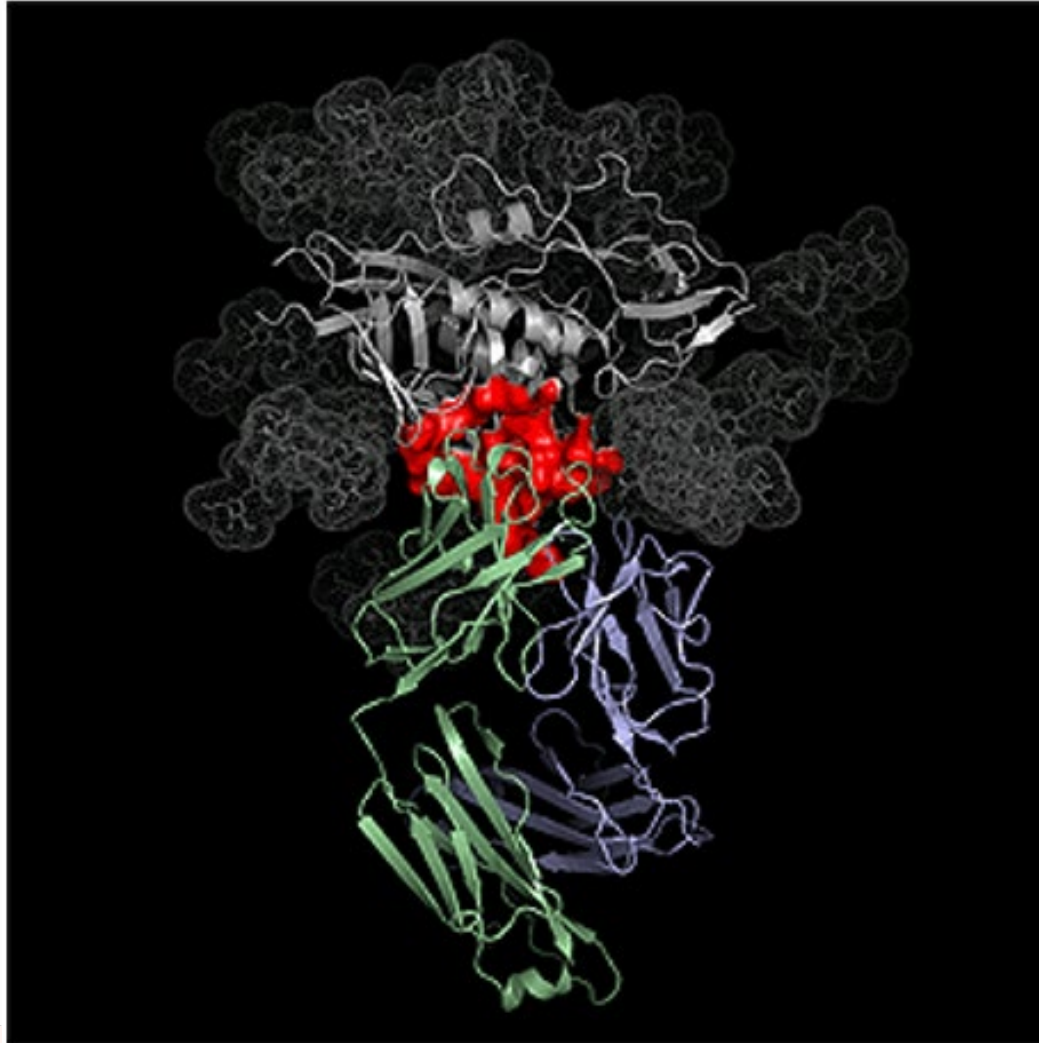


Image credit: NIAID

Gray-
Gp120

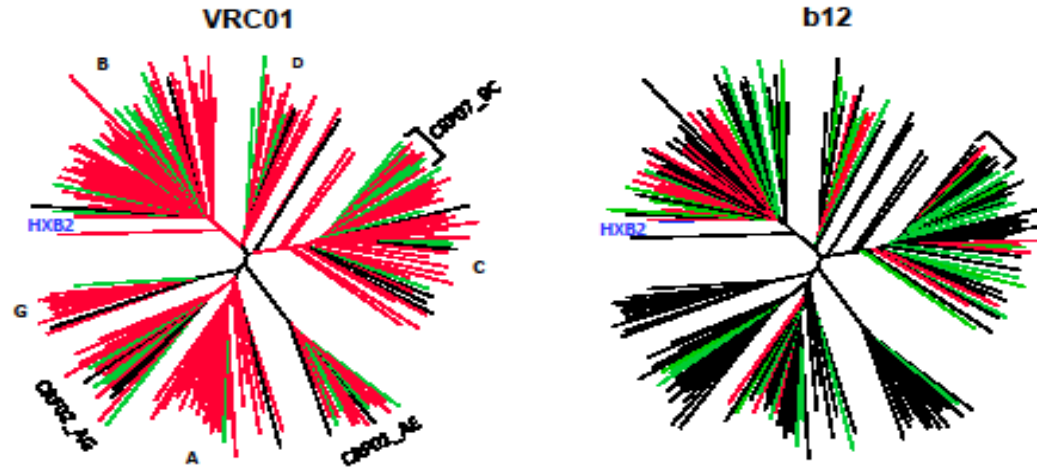
Red-
the CD4
binding
site on
gp120



Green & Purple
– the VRC01
antibody
attached to the
CD4
binding site

Panel of 190 Diverse Viral Isolates

gp160 protein distance
Neighbor-Joining tree
0.01



- = killed HIV!
- = partially killed HIV
- = did not kill HIV

Virus clade	Number of viruses	IC ₅₀ < 50 µg/ml		IC ₅₀ < 1 µg/ml	
		VRC01	b12	VRC01	b12
A	22	100%	45%	95%	23%
B	49	96%	63%	80%	39%
C	38	87%	47%	66%	13%
D	8	88%	63%	50%	25%
CRF01_AE	18	89%	6%	61%	0%
CRF02_AG	16	81%	19%	56%	0%
G	10	90%	0%	90%	0%
CRF07_BC	11	100%	27%	45%	9%
Other	18	83%	33%	78%	6%
Total	190	91%	41%	72%	17%

With thanks to
Dr. Barney Graham





HVTN 703/HPTN 081
HVTN 704/HPTN 085

The AMP Studies:

HVTN 703/HPTN 081 & HVTN 704/HPTN 085

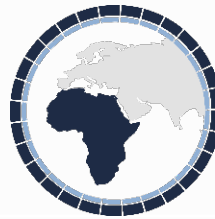
- AMP stands for Antibody Mediated Prevention
- These are the first studies testing whether a broadly neutralizing antibody can prevent HIV infection, and if it can, what dose is needed
- 703/081 is enrolling 1500 women in sub-Saharan Africa
- 704/085 is enrolling 2700 men and transgender people who have sex with men in the Americas and Switzerland

Study Schema for The AMP Studies

HVTN 704/HPTN
085



HVTN 703/HPTN
081



REGIMEN	MSM & TG in the Americas & Switzerland	Women in sub-Saharan Africa	TOTAL	
VRC01 10 mg/kg	900	500	1300	10 infusions total; Infusions given every 8 weeks
VRC01 30 mg/kg	900	500	1300	
Control	900	500	1300	
Total	2700	1500	4200	Study duration: ~22 months

HVTN 704 status:

- Study ongoing
- Upcoming study HVTN 804:
 - Antiretroviral analytical treatment interruption (ATI) to assess immunologic and virologic responses in participants who received VRC01 or placebo and became infected during HVTN 704/HPTN 085
 - Research question: In individuals infected in HVTN 704, and who received antibody, maintain control of viremia after treatment interruption

HVTN 130

- Antibody infusion trial with different combinations of antibodies:
- Antibodies can work with each other to increase coverage of circulating viruses
- Need to see how compatible they are with each other.
- Goal: could a "cocktail" of antibodies provide lasting protection from infection

Take-Home Messages

- Antibody-mediated prevention (AMP) using broadly neutralizing antibodies could be another way to prevent HIV infection.
- Trials of AMP may also teach us more about vaccine design: which antibodies are protective, how much of them do we need, etc.
- Mosaic - a way of teaching your body to recognize common HIV proteins, used as an HIV insert, currently being tested in HVTN 106, HVTN 706 currently enrolling



SARS CoV-2

4 main coronaviruses infect humans

229E, OC43, NL63, HKU1

Cause seasonal respiratory illness (peak in winter, but can occur year round)

Detected by Respiratory Virus Panel

2 newer ones:

SARS (Severe Acute Respiratory Syndrome)

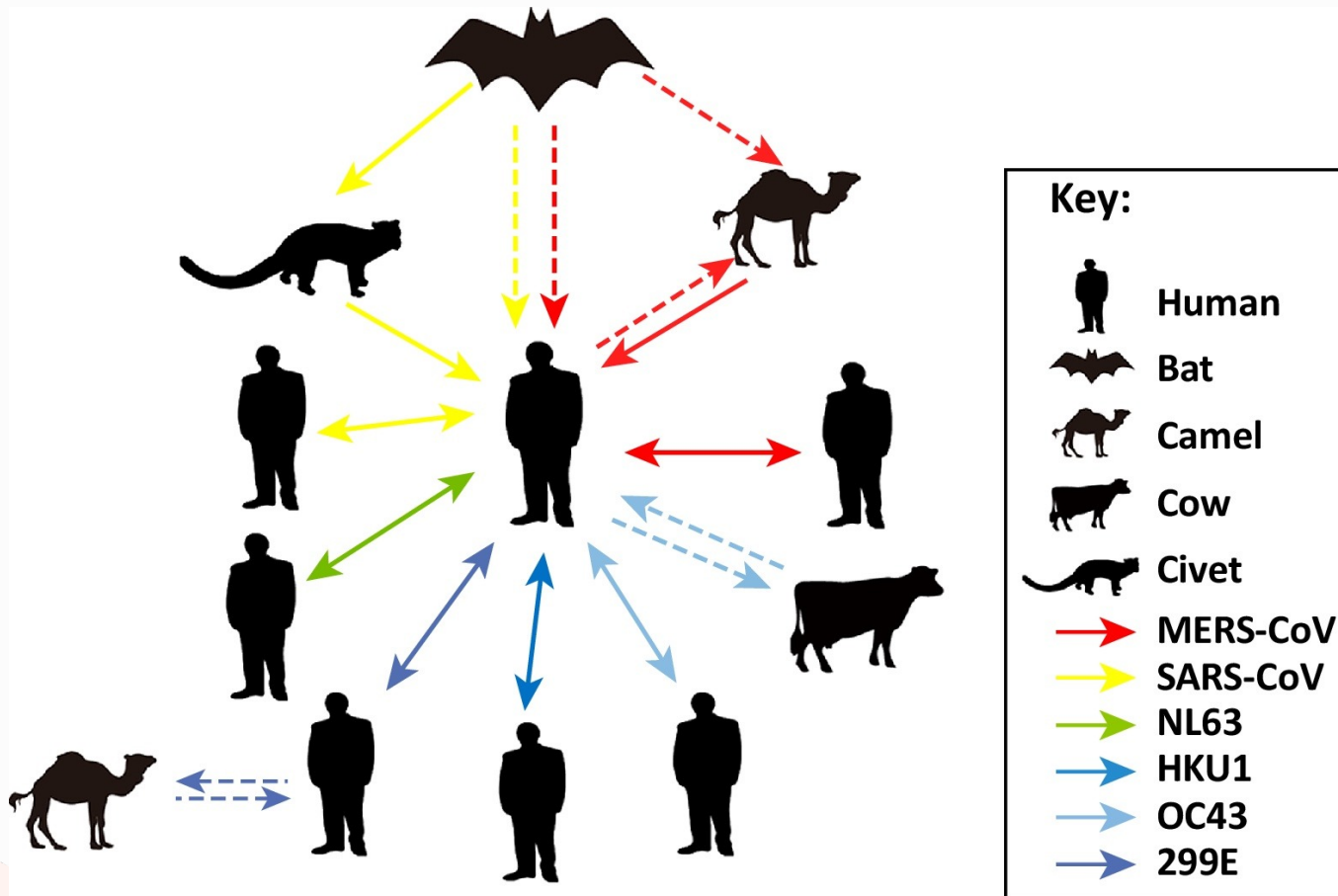
Caused outbreak in 2002 and 2003

MERS (Middle East Respiratory Syndrome)

Outbreak in 2012

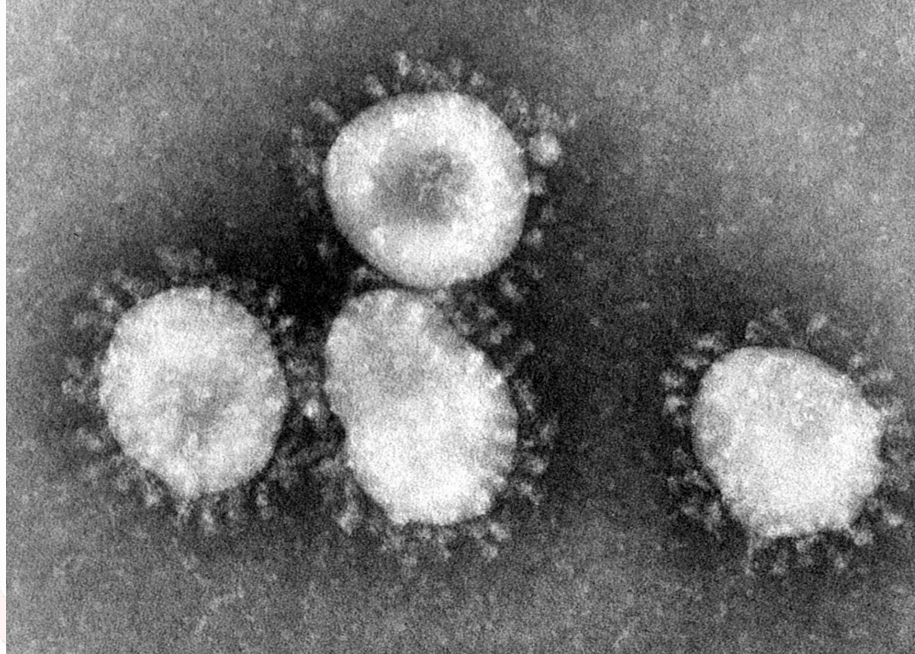
SARS CoV-2 (causes CoVID-19) is related,
but not detected by current standard tests

Origin of coronaviruses

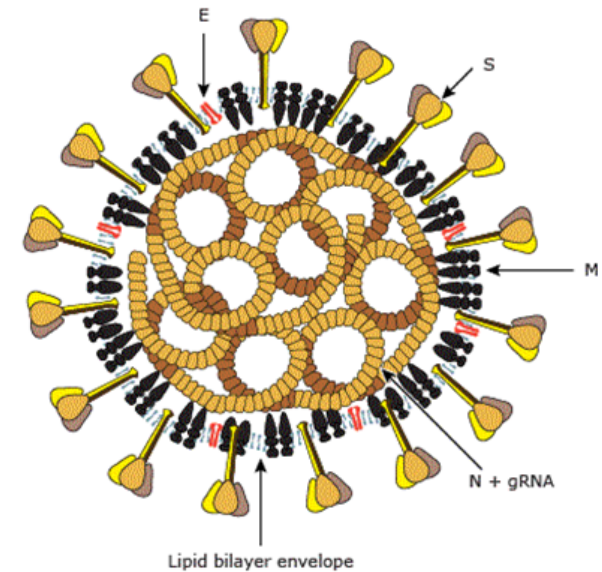


Trends in Microbiology

Coronavirus structure



Model of coronavirus structure: A schematic diagram of virion structure



Schematic showing the major structural proteins of the coronavirus virion.

S: spike protein; M: membrane protein; E: envelope protein; N: nucleocapsid protein.

Reproduced with permission from: Masters PS, Perlmans S. Coronaviridae. In: Fields Virology, 6th edition, Knipe DM, Howley PM (Eds), Lippincott Williams & Wilkins, Philadelphia, 2013. Copyright © 2013 Lippincott Williams & Wilkins. www.lww.com.

UpToDate®

Compare and contrast HIV and SARS CoV-2

■ HIV-1 and SARS CoV-2 Similarities:

- RNA viruses: coronaviruses are the largest RNA viruses, genome 3x larger than HIV
- Enveloped viruses: lipid envelope, inactivated with detergent
- Each came from animal reservoirs: HIV from non-human primates, CoV-2 from bats or pangolins.

Compare and contrast HIV and SARS CoV-2

- HIV-1 and SARS CoV-2 Differences:
- HIV-1 much more variable, multiple species in the host “quasispecies”
- HIV-1 is a retrovirus, integrates into the host genome and establishes chronic infection (no known instance of spontaneous clearance)
- HIV-1 blood transmission
- CoV-2: Respiratory spread
- CoV-2: an “acute” viral infection, cleared by the host (no integration, no latent reservoir)
- Theoretically makes the path to a vaccine easier

SARS CoV-2 HVTN studies

- HVTN 405: Characterizing SARS-CoV-2-specific immunity in convalescent individuals
- Recruiting individuals with resolved infection to understand protective antibody and cellular responses
- HVTN 406: A prospective study of acute immune responses to SARS-CoV-2 infection
- Just released, aims to understand early immune responses to infection, some immune responses may predict a poor outcome, so may want to avoid generating these responses with a vaccine
- Upcoming Vaccine study: Moderna RNA based vaccine, expresses the Spike protein, hoping to elicit antibodies that prevent virus from binding cells (“neutralizing antibodies”)

Acknowledgements



HIV VACCINE
TRIALS NETWORK



HVTN

- Gail Broder
- Dr. Gaston Djomand
- Dr. Chuen-Yen Lau
- Dr. Barney Graham
- Dr. Shelly Karuna
- Dr. Cecilia Morgan
- Dr. John Hural
- Steve Wakefield
- Genevieve Meyer
- Carter Bentley

VUMC HIV Vaccine Clinical Research Site

- Greg Wilson
- Shonda Sumner
- Amber Massey
- Jarissa Greenard
- Keith Richardson
- Rita Smith
- Cindy Nochowicz
- Gwendolyn Rees

THE HIV Vaccine Trials Network is supported through a cooperative agreement with the National Institute of Allergy and Infectious Diseases